

Please read this form in its entirety including our Terms Of Business and then complete all relevant sections. Return along with any accompanying documentation to our Client Liaison Department. Please also do not hesitate to contact this Department if you require duplicate copies of this form or have any questions or comments regarding its completion or submission.

INDIVIDUAL INVESTORS: Please Read and Complete Sections **A, B, E, F**

CORPORATE / TRUST APPLICANTS: Please Read and Complete Sections **A, C, E, F**

ELIGIBLE INTRODUCERS: Please Read and Complete Section **G**

For those Applicants wishing to appoint a Third Party Power of Attorney - Please Read and Complete Section **D**

Client Liaison Department

Indian Ocean Asset Management Limited
7th Floor, Cyber Tower,
Ebene, Reduit,
Mauritius

Telephone: (+230) 454 6400
Facsimile: (+230) 454 5413
Email: client@ioamltd.com

This form should be completed in conjunction with the Indian Ocean Asset Management Terms of Business available separately.

SECTION A - General Information

Account Name/Title

Correspondence Details

Address

Telephone No. Facsimile No.

Email Address

Principal Banking Details - For use for any investment redemptions or income payments unless otherwise stated

Bank Name

Bank Address

Telephone No. Facsimile No.

Email Address

Account Name

Account Number Sort Code:

General Disclosure

Are you acting for a third party? (Yes/No) If Yes, give Details

Does the account or parties hereto control or have financial interest in the trading of any other account with Indian Ocean Asset Management or have an account with any other financial broker or investment manager?

(Yes / No) If Yes, give Details

Does the Applicant have any pending litigation, disputed account or other unresolved matters with other financial brokers or asset managers or any regulator of financial markets or ever been subject to bankruptcy or insolvency proceedings?

(Yes / No) If Yes, give Details

SECTION B - Individual Applicant Details

Title	<input type="text"/>	Forename (s)	<input type="text"/>	
Surname	<input type="text"/>			
Residential Address:	<input type="text"/>			
Previous Address if less than 3 years at present Address.	<input type="text"/>			
Telephone No.	<input type="text"/>	Facsimile No.	<input type="text"/>	
Email Address	<input type="text"/>			
Date of Birth	<input type="text"/>	Nationality	<input type="text"/>	
Passport Number	<input type="text"/>	Place of issue	<input type="text"/>	Date of Issue <input type="text"/>
Marital Status	<input type="text"/>	Number of Dependents	<input type="text"/>	
Occupation	<input type="text"/>			
Employer's Name	<input type="text"/>			
Nature of Business	<input type="text"/>			
Employer's Address	<input type="text"/>			
Annual Salary	<input type="text"/>	And indicate your Net Worth	<input type="text"/>	
Are you regulated by an recognised financial body? If yes give details.	<input type="text"/>			

You are required to supply certified copies of the following documentation

- A Certified Copy of Your Passport An Original, recent (within three months) Bank statement, stating your current address.

* Other appropriate information may be substituted for the above upon approval with the Company. Please contact us for details.

Acceptance of Terms of Business

I/We agree to the Terms and Conditions of Indian Ocean Asset Management Limited ("IOAM") as set out in the Terms of Business Agreement. I/We have received a copy of the Company's current rate of charges. I/We confirm that the client information provided should be used as a basis for providing me/us with appropriate investment management service. I/We confirm that it is my/our responsibility to advise IOAM if this information should alter in any respect and that IOAM cannot be held responsible for any consequences on-going from my/our failure to advise IOAM of any changes to this information.

Applicant's Signature	<input type="text"/>		
Full Name	<input type="text"/>	Date	<input type="text"/>

SECTION C - Corporation, Institution or Trust Application

Registered Name

Registered Address

Contact Person Position

Principal Business

Company Registration No. Date Incorporated Domicile

Type of Corporation (e.g. Limited Company)

Share Capital or Net Worth (or Currency Equivalent) \$ millions

You are required to supply certified copies of the following documentation

- | | | |
|---|--|---|
| <input type="checkbox"/> Latest Audited Accounts | <input type="checkbox"/> Shareholder List | <input type="checkbox"/> Authorised Signatories List |
| <input type="checkbox"/> Memorandum or Trust Deed | <input type="checkbox"/> Authorised Contacts List | <input type="checkbox"/> Certified copies of Directors/Trustees passports |
| <input type="checkbox"/> Certificate of Incorporation | <input type="checkbox"/> Board Resolution (Example Supplied Below) | |

Corporate Board/Trust Resolution

At a meeting of the Board of Directors ("the Board")/Trustees ("Trustees") of _____ ("the Company" or "the Trust") held on _____, there was produced to the Board/Trustees a copy of the Indian Ocean Asset Management Limited ("IOAM") Account Application Form and IOAM Terms of Business (collectively "the Agreement") under which IOAM agrees to act for the Company/Trust as either Investment Manager or Execution Only Agent, as indicated in the Application Form herein. IOAM also undertakes to act in accordance with the Investment Objectives agreed and with the other Terms and Conditions set out in this Agreement.

The Board/Trustees, having carefully considered the same, resolved to approve this Agreement (Terms of Business and Account Application Form) and confirm that:- _____ (named persons) be and are hereby authorised to sign and deliver it to IOAM and that anyone indicated below, or in the appended approved list, is hereby authorised to transact business with IOAM on behalf of the Company. The term 'transact business' shall include passing of instructions in relation to appropriate transactions, amending the agreed Investment Objectives, authorising new investment monies or instructing the withdrawal of Funds.

Authorised Signatory	<input type="text"/>	
Full Name	<input type="text"/>	Date <input type="text"/>
Authorised Signatory	<input type="text"/>	
Full Name	<input type="text"/>	Date <input type="text"/>

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Approved/Designated Signatory	<input type="text"/>	Date <input type="text"/>
Full Name	<input type="text"/>	Position <input type="text"/>
Approved/Designated Signatory	<input type="text"/>	Date <input type="text"/>
Full Name	<input type="text"/>	Position <input type="text"/>

SECTION D - Limited Power of Attorney (Third Parties)

To be completed by all clients wishing to appoint a Power of Attorney to a third party.

Please give details of relationship between Client and Attorney.

To: Indian Ocean Asset Management Limited

Whereas I/we have entered into a Customer Agreement with Indian Ocean Asset Management Limited ("IOAM") and have agreed to IOAM's Terms of Business.

Account Title

Now this deed witnesses as follows:-

1. As my/our Attorney ("the Attorney") and in my/our name and on my/our behalf to issue instructions in line with the type of account agreed in the opening form.

I/We Authorise

Of

2. In consideration of you agreeing to act on the instructions of the Attorney, I/we hereby agree as follows:-

(a) To indemnify you and keep you indemnified from and against all demands, claims, liabilities, losses, damages and expenses whatsoever (including interest, penalties, legal and other costs and taxes thereon) incurred by you as a result of acting on the instructions of the Attorney. However, nothing in this paragraph shall limit or purport to limit any liability you may have to me for any contravention of rules and regulation.

(b) I/We agree to pay you on demand all losses, indebtedness due by me/us to you and all debit balances on my/our account with you.

3. In all such purchases, sales or deals referred to at paragraph 1 above, the Attorney as my/our agent and on my/our behalf is authorised to do and perform any act and action required to be done and performed by me/us concerning my/our behalf in the same manner and with the same force and effect as I/we might or could do with respect to such purchases, sales or deals as well as with respect to all other things necessary or incidental to the furtherance or conduct of such purchases, sales or deals.

4. This authorisation and indemnity is in addition to (and in no way limits or restricts) any rights which you have under any other agreement or agreements between your firm and me/us.

5. This authorisation and indemnity is a continuing one and shall remain in full force and effect until revoked by me/us by a written notice addressed to you provided that always:-

- (a) Any such revocation shall not take effect before the end of the business day in Mauritius when such notice is received by you at the address of your principle place of business; and
- (b) Such revocation shall not in any way effect anything done by the Attorney under this deed prior to revocation.

6. This authorisation and indemnity shall continue to the benefit of your present firm and of any successor firm or firms irrespective of any change or changes that may occur at your present firms or any successor firm/s.

7. I/We certify that I/we have the financial resources to execute this deed.

8. This deed shall be governed by the law of Mauritius and parties hereto submit to the non-exclusive jurisdiction of the Mauritian Courts as regards any claim or matter arising in relation to this deed.

9. I/We agree to ratify and confirm all the acts of the Attorney in connection with the matters referred to above.

Applicant's Signatory

Full Name

Date

Witnesses Signature

Full Name

Date

Residential Address of Witness

SECTION E - Discretionary Portfolio Selection

Please indicate a base currency of investment to be used for consolidated reporting. \$ £ € Other

Please indicate the total initial investment you wish to make.

Please confirm you have read the full product descriptions. Yes, I have read the full product descriptions

Please indicate into which portfolios you wish to invest. You may indicate a currency amount or percentage of total investment for each selected portfolio.

IOAM Liquidity Plus	VERY LOW RISK (2/10)
<i>Focused on a modest return over short to medium term</i>	Amount or Percentage of Total Investment
	<input type="text"/>

IOAM Defensive	LOW RISK (3/10)
<i>Focused on consistent but constrained capital growth with minimal risk</i>	Amount or Percentage of Total Investment
	<input type="text"/>

IOAM Cautious	LOW / MEDIUM RISK (4/10)
<i>Focused on returns above inflation with moderate risk exposure</i>	Amount or Percentage of Total Investment
	<input type="text"/>

IOAM Balanced	MEDIUM RISK (5/10)
<i>Focused on a wider market spread to balance out risk</i>	Amount or Percentage of Total Investment
	<input type="text"/>

IOAM Growth	MEDIUM/ / HIGH RISK (6/10)
<i>Focused on diversity of investments & sectors for higher capital returns</i>	Amount or Percentage of Total Investment
	<input type="text"/>

IOAM Adventurous	HIGH RISK (7/10)
<i>Focused on domestic & international equity for strong capital growth</i>	Amount or Percentage of Total Investment
	<input type="text"/>

IOAM Speculative	VERY HIGH RISK (8/10)
<i>Focused on aggressive growth via domestic international & emerging equity</i>	Amount or Percentage of Total Investment
	<input type="text"/>

Investment Proposal

If you have been given an investment proposal and would like to follow those specific investment principals please initial the box here.

FEES AND CHARGES

Please refer to our Schedule of Fees & Charges for full details. Outlined below are explanations of those which clients have sort clarification of in the past. If you require further information on any of our fees then please contact your adviser or this office.

Investment Management Fee Charged

Investment Management Fees are based on monthly portfolio values and will be charged to the client account at each and every calendar month end, in arrears. The first charge to be levied will be at the first calendar month end after the opening of an account.

Introductory Commissions

IOAM will deduct the following introductory commission on any subscribed amounts. Any such commissions which will be fully rebated to appropriate intermediaries and advisors, along with other fees where applicable.

%	Agreed % Introductory Commission	<input type="text"/>
	PLEASE INITIAL IF ACCEPTABLE:	

Stock Market and Account Executions

Commissions will be charged by third party stock brokers and counterparties in connection with business executed on Global Equity and Bond Markets and in connection with other investment transactions for the purchase and sale of investments in line with standard market practice. IOAM will seek to negotiate with executing counterparties to reduce the rates and charges for such executions to the client's account. Where IOAM is able to negotiate a reduced commission with executing counterparties, IOAM may also receive rebate commissions/fees from the executing counterparty.

Nominee and Safe Custody Administration Fees

IOAM will charge a quarterly fee for nominee and safe custody administration quarterly in arrears.

Third Party Fees

Any taxes, duties, levies and other charges imposed by third parties in respect of transactions effected for you are not provided for in our standard charges will be deducted from your

Confirmation of Discretionary Portfolio Selection

I/We confirm that the above accurately reflects our requested portfolio selection.

Applicant's Signature

Full Name Date

SECTION G - IOAM Approved Eligible Introducer Certificate

Only Eligible Introducers that have been **PRE-APPROVED** by Indian Ocean Asset Management Limited may complete this section. Please complete your IOAM Approval Reference Number:

Applicant Name

Applicant Address

I/WE CERTIFY THAT in accordance with the provisions of the Financial Intelligence and Anti Money Laundering Act 2002 of Mauritius and the Financial Services Commission of Mauritius' Code on the Prevention of Money Laundering and Terrorist Financing as amended from time to time, or *equivalent legislation*:

1. We have verified the identity of the Applicant and confirm that documentary evidence has been obtained and identity checks have been undertaken to confirm that the Applicant(s) names(s) and address(es) as shown on the Applicant form(s) is/are correct.
2. The underlying records of identity and copies of the documentary evidence received are attached to this certificate.

AND

3. The Applicant(s) is/are applying on his/her own behalf and not as nominee, trustee or in a fiduciary capacity for any other person.
4. I/We am/are unaware of any activities of the applicant that cause me/us to suspect either that the applicant is engaged in money laundering or any other form of criminal conduct.

Full Name of Eligible Introducer

Name of Regulator or Professional Body

Country of Regulator or Professional Body

Licence or Registration No.

Signed

Full Name

Date

Job Title