



## Portfolio Planning Risk Questionnaire

Client Name

Advisor Name

Advisor Company

### Indian Ocean Asset Management Limited

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Indian Ocean Asset Management Limited is Authorised and Regulated by the Financial Services Commission of Mauritius.

DISCLAIMER: This document's sole use is as a tool to aid the assessment of a potential client's risk profile. It is not intended as an offer or solicitation or recommendation to use or invest in any service or product. Potential Investors should be aware that the value of investments, and the income from them, can go down as well as up so you may get back less than you invested. Past performance is not necessarily a guide to future returns.

To use this questionnaire, answer the 24 questions and add up the scores to indicate risk level.  
 (Please use a separate form for each person when planning on behalf of two people)

## Risk Questionnaire

	SCORE	Strongly Agree	Agree	Neither Disagree Nor Agree	Disagree	Strongly Disagree
I am exhilarated when reading about volatility in the stock market	<input type="radio"/>	<input type="radio"/> 5	<input type="radio"/> 4	<input type="radio"/> 3	<input type="radio"/> 2	<input type="radio"/> 1
I want to see high gains from my portfolio and I am happy to take the higher risks associated with this	<input type="radio"/>	<input type="radio"/> 5	<input type="radio"/> 4	<input type="radio"/> 3	<input type="radio"/> 2	<input type="radio"/> 1
I want to see high returns from my portfolio. Gradual reliable increases in value are not attractive to me	<input type="radio"/>	<input type="radio"/> 5	<input type="radio"/> 4	<input type="radio"/> 3	<input type="radio"/> 2	<input type="radio"/> 1
I am not interested in financial affairs	<input type="radio"/>	<input type="radio"/> 1	<input type="radio"/> 2	<input type="radio"/> 3	<input type="radio"/> 4	<input type="radio"/> 5
If I believe that the investment is sound I do not worry too much about conflicting information that is published	<input type="radio"/>	<input type="radio"/> 5	<input type="radio"/> 4	<input type="radio"/> 3	<input type="radio"/> 2	<input type="radio"/> 1
I do not get worried if the value of my investment fluctuates rapidly over time	<input type="radio"/>	<input type="radio"/> 5	<input type="radio"/> 4	<input type="radio"/> 3	<input type="radio"/> 2	<input type="radio"/> 1
I do not have much experience in the investments	<input type="radio"/>	<input type="radio"/> 1	<input type="radio"/> 2	<input type="radio"/> 3	<input type="radio"/> 4	<input type="radio"/> 5
I do not have a good understanding of financial terms and phraseology	<input type="radio"/>	<input type="radio"/> 1	<input type="radio"/> 2	<input type="radio"/> 3	<input type="radio"/> 4	<input type="radio"/> 5
I do not regularly review my investment portfolio	<input type="radio"/>	<input type="radio"/> 1	<input type="radio"/> 2	<input type="radio"/> 3	<input type="radio"/> 4	<input type="radio"/> 5
I have a good grasp of financial concepts which I use to steer my investment decisions	<input type="radio"/>	<input type="radio"/> 5	<input type="radio"/> 4	<input type="radio"/> 3	<input type="radio"/> 2	<input type="radio"/> 1



	SCORE	Strongly Agree	Agree	Neither Disagree Nor Agree	Disagree	Strongly Disagree
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I like to have a long term financial strategy for my investments

<input type="radio"/>	5	4	3	2	1
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I do not feel comfortable with the prospect of losing money even in the short term

<input type="radio"/>	1	2	3	4	5
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The idea of investing in volatile foreign markets for the prospect of bigger returns appeals to me

<input type="radio"/>	5	4	3	2	1
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My aim is to make as much money as possible over the shortest time frame

<input type="radio"/>	5	4	3	2	1
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I am not interested in financial coverage in the press

<input type="radio"/>	1	2	3	4	5
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I am comfortable taking risks with my investments

<input type="radio"/>	5	4	3	2	1
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In relation to big financial decisions, it is the possible losses that I concentrate more on than the potential gains

<input type="radio"/>	1	2	3	4	5
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I feel more comfortable when investing in cash accounts rather than the stock market

<input type="radio"/>	1	2	3	4	5
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I do like to be able to access my money readily so that I can adapt to changes in my financial needs

<input type="radio"/>	1	2	3	4	5
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I am interested in more adventurous alternative investment opportunities rather than traditional proven strategies

<input type="radio"/>	5	4	3	2	1
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I would prefer to have a small pay rise and more job security rather than a large pay rise with less job security

<input type="radio"/>	1	2	3	4	5
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SCORE	Strongly Agree	Agree	Neither Disagree Nor Agree	Disagree	Strongly Disagree
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My aim is not to accumulate large amounts of wealth from my investment portfolio

○ 1 2 3 4 5

If I had seen good returns from a particular investment in the past I would invest in that in the future

○ 5 4 3 2 1

I am happy with fluctuations in my deposited capital as long as the returns remain steady

○ 5 4 3 2 1

# Your Score ○

   

Risk	Portfolio	Lower	Upper
2	Liquidity Plus	47	55
3	Defensive	56	60
4	Cautious	61	64
5	Balanced	65	69
6	Growth	70	74
7	Adventurous Growth	75	78
8	Speculative Growth	79	83

*If your score falls outside the range displayed then our services may not be suitable for your needs. Please discuss with your advisor before proceeding.*

*By signing below you confirm that you have answered the above questions to the best of your ability and agree with the risk profile outlined.*

Client Signature \_\_\_\_\_

Date \_\_\_\_\_

Advisor Signature \_\_\_\_\_

Date \_\_\_\_\_

